

# Solutions

1.) Yes!  $\varphi(f_1) = e_1 - e_2$ ,  $\varphi(f_2) = e_2 - e_3$

2 & 3.) Answer:  $\exists$  lattice embedding  $\Leftrightarrow n = -ma^2 + (a+1)^2$   
for some  $a \neq 0$

Proof:

Let  $\varphi: (\mathbb{Z}^{m+1}, Q) \rightarrow (\mathbb{Z}^{m+1}, -I)$  be a lattice embedding.

Set  $v_i = \varphi(f_i) \quad \forall 1 \leq i \leq m+1$

and  $v_i \cdot v_j = -I(v_i, v_j)$  (negative dot product)

Then, by definition,

$$v_i \cdot v_i = -n$$

$$v_i \cdot v_i = -2 \quad \forall 2 \leq i \leq m+1$$

$$v_i \cdot v_j = \begin{cases} 1 & \text{if } |i-j|=1 \\ 0 & \text{if } |i-j| \neq 1 \end{cases}$$

Case I:  $m=1$ ,  $Q = \begin{bmatrix} -n & 1 \\ 1 & -2 \end{bmatrix}$

Let  $v_2 = x_1 e_1 + x_2 e_2$ . Then  $-2 = v_1 \cdot v_1 = -x_1^2 - x_2^2 \Rightarrow x_1 = \pm 1, x_2 = \pm 1$

Up to changing the basis, we may assume  $x_1 = 1, x_2 = -1$

So  $v_2 = e_1 - e_2$ .

Next let  $v_1 = y_1 e_1 + y_2 e_2$

Then  $v_1 \cdot v_2 = 1 \Rightarrow -x_1 y_1 - x_2 y_2 = 1 \Rightarrow y_1 - y_2 = 1 \Rightarrow y_1 = y_2 + 1$

Thus  $-n = v_1 \cdot v_1 = -y_1^2 - y_2^2 = -(y_2 + 1)^2 - y_2^2$

Hence  $\exists$  lattice embedding  $\Leftrightarrow n = a^2 + (a+1)^2$  for some  $a \geq 1$ .

Case 2:  $m=2$ ,  $Q = \begin{bmatrix} -n & 1 & 0 \\ 1 & -2 & 1 \\ 0 & 1 & -2 \end{bmatrix}$

Let  $v_3 = x_1 e_1 + x_2 e_2 + x_3 e_3$ . Then  $v_3 \cdot v_3 = -2 \Rightarrow -x_1^2 - x_2^2 - x_3^2 = -2$   
 $\Rightarrow (x_1, x_2, x_3) \in \{(\pm 1, \pm 1, 0), (0, \pm 1, \pm 1), (\pm 1, 0, \pm 1)\}$ .

Up to changing basis, we may assume  $v_3 = e_1 - e_2$

Similarly,  $v_2 = \pm e_i \pm e_j$  for some  $i \neq j \in \{1, 2, 3\}$ .

If  $i=1$  and  $j=2$  (or  $i=2$  and  $j=1$ ), then  $v_2 \cdot v_3 \in \{0, \pm 2\}$ , which contradicts the fact that  $v_2 \cdot v_3 = 1$ .

Hence we may assume  $i=2$  and  $j=3$

Up to a change of basis, we have  $v_2 = e_2 - e_3$

Let  $v_1 = y_1 e_1 + y_2 e_2 + y_3 e_3$ .

Since  $v_1 \cdot v_3 = 0$ , we have  $y_2 - y_1 = 0 \Rightarrow y_1 = y_2$

Since  $v_1 \cdot v_2 = 1$ , we have  $y_3 - y_2 = 1 \Rightarrow y_3 = y_2 + 1$

Finally,  $n = -v_1 \cdot v_1 = y_1^2 + y_2^2 + y_3^2 = 2y_1^2 + y_3^2$

Thus  $\exists$  embedding iff  $n = 2a^2 + (a+1)^2$ , where  $a \neq 0$   
(if  $a=0$ ,  $n=1$ , which is a contradiction)

### Case 3: $m \geq 3$

As in Case 2, we may assume  $v_{m+1} = e_1 - e_2$ ,  $v_m = e_2 - e_3$

Now, since  $v_{m-1} \cdot v_{m-1} = -2$ , again we have  $v_{m-1} = \pm e_i \pm e_j$ ,  $i \neq j$ .

Assume  $i=2$ , then since  $v_{m-1} \cdot v_{m+1} = 0$ , we must have  $j=1$  and  $v_{m-1} = \pm(e_1 + e_2)$ . Since  $v_{m-1} \cdot v_{m-2} = 1$ ,

we have that  $v_{m-2} = \mp e_1 + \dots$  or  $v_{m-2} = \mp e_2 + \dots$

Since  $v_{m-2} \cdot v_{m+1} = 0$ , we then have  $v_{m-2} = \mp(e_1 + e_2) + \dots$

But then  $v_{m-2} \cdot v_{m-1} \neq 1$ , which is a contradiction.

Thus  $i \neq 2$ . Similarly,  $i \neq 1$  and  $j \neq 1, 2$ .

Hence  $i=3$  (since  $v_{m-1} \cdot v_m = 1$ ). and we may assume  $j=4$

So  $v_{m-1} = e_3 - e_4$ .

We have:  $v_{m+1} = e_1 - e_2$ ,  $v_m = e_2 - e_3$ ,  $v_{m-1} = e_3 - e_4$

Continuing in this way (inductively)

we may assume that  $v_{m-i} = e_{i+2} - e_{i+3} \quad \forall 1 \leq i \leq m-2$

$$\text{Let } v_i = \sum_{c=1}^{m+1} y_{ic} e_c$$

$$v_i \cdot v_{m+1} = 0 \Rightarrow y_2 - y_1 = 0 \Rightarrow y_2 = y_1$$

$$v_i \cdot v_m = 0 \Rightarrow y_3 - y_2 = 0 \Rightarrow y_3 = y_2$$

$$v_i \cdot v_{m-i} = 0 \Rightarrow y_{i+3} - y_{i+2} = 0 \Rightarrow y_{i+3} = y_{i+2} \quad \forall 1 \leq i \leq m-2$$

and

$$v_i \cdot v_2 = 1 \Rightarrow y_{m+1} - y_m = 1 \Rightarrow y_{m+1} = y_m + 1$$

$$\text{Hence } n = -v_i \cdot v_i = -y_1^2 - y_2^2 - \dots - y_{m+1}^2 = -m y_1^2 - (y_1 + 1)^2$$

$$\Rightarrow \exists \text{ embedding} \iff n = m a^2 + (a+1)^2 \text{ for } a \neq 0.$$